

**(A.C.C.E.S.) ACCESSIBLE COMMUNITY COUNSELLING AND EMPLOYMENT SERVICES
("ACCES")**

**Request for Proposals (RFP)
For Independent Contractors Opportunity for:**

**FINANCIAL SECTOR SPECIFIC E-LEARNING, INDUSTRY EXAMINATION PREPARATION AND CERTIFICATION
FOR THE FUTURE WORKFORCE
RFP # 2022-03-11**

Issued Date: March 11, 2022

Closing Date: March 25, 2022 (5:00 pm EST)

Table of Contents

SECTION 1 - COMPANY OVERVIEW	3
SECTION 2 - COVID-19 VACCINE SAFETY POLICY	3
SECTION 3 - DELIVERABLES AND EXPERIENCE.....	3
SECTION 4 – SUBMISSION INSTRUCTIONS	5
SECTION 5 – EVALUATION AND SELECTION	6
SECTION 6 – TERMS AND CONDITIONS	8
APPENDIX A - Request for Proposals (RFP) Cover Page	11
APPENDIX B - Request for Proposals (RFP) Checklist.....	12
APPENDIX C - Conflict of Interest Statement	14
APPENDIX D - References.....	15
APPENDIX E - GST/HST Registration - Confirmation of Exemption	16
APPENDIX F – Bid Form	17
APPENDIX G – Services Agreement	18
APPENDIX H – COVID-19 Vaccine Safety Policy & Acknowledgement Form	28

SECTION 1 - COMPANY OVERVIEW

ACCES is a leader in connecting employers with qualified employees from diverse backgrounds. More than 40,000 job seekers are served annually at seven locations across the Greater Toronto Area. As a not-for-profit corporation, ACCES receives funding from all levels of government, corporate sponsors, various supporters, and the United Way Greater Toronto.

For more information about ACCES, please visit: www.acesemployment.ca.

SECTION 2 - COVID-19 VACCINE SAFETY POLICY

ACCES Employment is currently on a hybrid work schedule, working in the office and from home. This hybrid work schedule is subject to change based on business requirements.

Please Note:

Effective October 20, 2021, ACCES requires all parties attending an ACCES location in person, including employees, clients, contractors and vendors to be fully vaccinated, in accordance with our Covid-19 Vaccine Safety Policy. Proof of full vaccination refers to two doses of Health Canada approved vaccines and includes any additional vaccination doses that may be required. Proof of vaccination will be verified. ACCES will accommodate qualifying medical or religious exemptions. Those who are not fully vaccinated due to a valid medical exemption must provide written proof from an allergist/immunologist/cardiologist.

SECTION 3 - DELIVERABLES AND EXPERIENCE

ACCES is requesting proposals from prospective proponents to qualify as a future potential vendor for the provision of financial E-learning courses and financial exam preparation and certification as described in sections 3.1 and 3.2. The successful proponent will be invited to enter into a Service Agreement with ACCES for the period of April 1, 2022 to March 31, 2023. At ACCES's discretion, the Service Agreement may be extended.

3.1. Project Overview

ACCES offers several programs to position Internationally Trained Professionals (ITPs) into commensurate employment that reflects the field in which they were trained or in a related field, without duplicating their existing skills and education. The goal is to support ITPs to successfully move into their profession in Canada at an accelerated pace.

To support this goal, ACCES is requesting proposals for the provision of financial e-learning and financial exam preparation and certification.

3.2. Scope of Work

The scope of work consists in providing financial E-learning courses, financial exam preparation and certification to program participants at ACCES in the Financial Services Connections (FSC) program, that will include the following courses:

- Investment Funds in Canada (a mutual fund licensing) - provides foundational knowledge that prepares Advisors to give effective advice to clients on mutual fund investments based on a client’s objectives, timeline, and risk tolerance. It also provides greater insight into a mutual fund representative’s legal, ethical, and professional responsibilities.
- Personal Financial Services Advice- helps translate the unique requirements, challenges, and opportunities of every client into credible plans that advance their financial position.
- Financial Planning I
- Financial Planning II
- Applied Financial Planning (AFP) Certification Examination
- Small Business Lending – provides an understanding of the financial and operational analysis that affects small business owners. The course will show students how to evaluate the financial health of a business and make good credit and business decisions for small business owners and operators

3.3. Skills and Qualifications

- Over five years’ experience providing financial E-learning courses, financial exam preparation and certification to program participants.
- At least 5 years’ experience delivering services across the financial services spectrum to include securities and portfolio management, banking, trust, insurance and financial planning.
- Have the ability to provide regulatory courses and examinations for the Investment Industry Regulatory Organization of Canada (IIROC). Courses must be credited by the securities and insurance regulators.
- Provide Canadian Security Institute e-courses that are available on demand in a variety of formats, enabling anytime, anywhere learning.
- Must have a partnership with industry regulators and practitioners to ensure that programs meet the evolving needs of the marketplace.

3.4. Demonstration of Experience

Please provide three recent examples and three recent references (*APPENDIX D – References*) demonstrating experience in the areas listed below:

- Provide examples of your work with organizations whose customers represent the full spectrum of a community with Toronto’s diverse demographic.
- Please ensure one example of your work is with non-profit, public sector, higher education, and/or employment organizations.

SECTION 4 – SUBMISSION INSTRUCTIONS

4.1. Key Dates

Description	Date and Time
RFP Issue Date	March 11, 2022
Proponents' Questions Deadline	March 18, 2022 (5:00 pm EST)
Last Day for Addenda/Responses to Proponents' Questions	March 22, 2022 (5:00 pm EST)
Proponents' Proposal Submission Deadline	March 25, 2022 (5:00 pm EST)
Proposals Review Period	March 28, 2022 – April 1, 2022
ACCES Selection Committee to Meet with Top Proponents	April 4, 2022 – April 8, 2022
Contract Award	Week of April 11, 2022

4.2. Responses to be Submitted in Prescribed Manner

1. The subject line of the e-mail should contain the proponent's name followed by **RFP # 2022-03-11 – Financial Sector Specific E-Learning, Industry Examination Preparation and Certification for the Future Workforce.**
2. Proposals are to be compiled into a single ZIP file and are to be sent in PDF format.
3. Proposals must include all sections and be clearly labelled using the headings listed on ***APPENDIX B - Request for Proposals (RFP) Checklist*** and signed by an appropriate official of the proponent's organization. Otherwise, the proposal will be deemed incomplete, therefore disqualified. This RFP sets forth the minimum requirements that all submissions must meet.

4.3. RFP Responses Deadline and Contact

- Proposals must be submitted by March 25, 2022 (5:00 pm EST) via e-mail to procurement@acesemployment.ca. Proposals submitted after the submission deadline will be rejected.
- **Important Note:** Email messages with large attachments can be slowed down in servers between the proponent's email and ACCES's email inbox. It is the proponent's responsibility to ensure that large emails are sent sufficiently in advance to ACCES by the closing date and time. The maximum size of an email that ACCES can receive is 10 MB.

4.4. Amendment of Proposals

- Changes to the submitted proposal can be made, if required, as per the delivery instructions outlined above, and to be clearly marked **“FINAL PROPOSAL”** in the email subject line and proposal must be received no later than the submission deadline.

4.5. Withdrawal of Responses

- At any time throughout the RFP process, a proponent may withdraw a submitted response. To withdraw a response, a notice of withdrawal must be sent to the RFP Bid Administrator and must be signed by an authorized representative of the proponent. ACCES is under no obligation to return withdrawn responses.

4.6. Subcontractors (*if applicable*)

- The proponent must list any subcontractors they will be using in connection to this RFP. Work proposed to be performed under this RFP or under the vendor’s proposal by the vendor or their employees shall not be subcontracted without ACCES’s prior written approval. Acceptance of a vendor’s proposal shall include any subcontractor(s) specified therein.

4.7. Bid Receipt

- Upon a receipt of a proponent’s proposal, ACCES will send an electronic receipt to proponents.

SECTION 5 – EVALUATION AND SELECTION

ACCES will conduct the evaluation of responses in the following two stages.

5.1. Evaluation Process

Stage I - Non-Rated Criteria: proposals must include all completed forms listed below to be further evaluated.

No.	Description	Criteria
1	Appendix A – RFP Cover Page	Meet / Does not Meet
2	Appendix B - RFP Checklist	Meet / Does not Meet
3	Appendix C - Conflict of Interest Statement	Meet / Does not Meet
4	Appendix D - References	Meet / Does not Meet
5	Appendix E - GST/HST Registration - Confirmation of Exemption	<i>If Applicable</i>
6	Appendix F – Bid Form	Meet / Does not Meet
7	Appendix H - COVID-19 Vaccine Safety Policy & Acknowledgement Form	Meet / Does not Meet

Stage II - Rated Criteria: this stage involves reviewing proposals based on the following evaluation criteria.

Component	Weight
<p>Company Profile (or Individual’s Profile):</p> <ul style="list-style-type: none"> include company’s legal name and location (state/province of incorporation); HST number; number of years in business; primary contact details; number of employees/current staffing; financial stability or total revenue previous fiscal year; core competencies, services, and products. where additional companies will be subcontracted, a company profile and company experience must be completed for each firm. 	5%
<p>Company (or Individual’s) Experience:</p> <ul style="list-style-type: none"> describe proponent’s knowledge, skills, and experience relevant to the deliverables, including any sub-contractors (if applicable). any sub-contractors must be clearly denoted and include a description of work history with the proponent, their experience, and qualifications. 	25%
<p>Approach and Implementation Methodology:</p> <ul style="list-style-type: none"> articulate the planned and recommended process for initiating online learning and the certification process. proponents should describe and/or provide details of how the deliverables (SECTION 3) will be addressed and describe the deliverables. 	30%
<p>Pricing: for all proposed services rendered complete Bid Form (APPENDIX F), including:</p> <ul style="list-style-type: none"> related costs per cohort for Year 1, Year 2 and Year 3 confirmation of whether non-profit fees are being provided 	40%

5.2. Selection Process

- ACCES reserves the right to accept or reject any proposals.
- ACCES may request further information from the proponent or third parties to verify, clarify or supplement the information provided in the proponent’s response. ACCES may revisit and reevaluate the proponent’s response or ranking based on any such information.
- ACCES will shortlist the top scoring proposals and their respective proponent organizations will be invited to deliver a presentation and/or interview with ACCES. The presentation/interviews will be scheduled at a time that is convenient for both parties. The presentation/interviews will be evaluated based on demonstrating an understanding and knowledge to deliver the required services, and demonstrating the abilities, skills, and experiences of the project team. Those shortlisted will be supplied with details on the presentation process (e.g., confirmation of presentation format, and any logistical requirements such as audio-visual, length of presentation).
- The evaluation process will consider a proposal that offers the best value and solution to ACCES. Therefore, the selected proposal may not necessarily be the lowest priced proposal.

- The proponent that receives the highest score will be invited to enter a contract with ACCES. If the highest scored proponent declines the invitation to further participate, ACCES reserves the right to invite the next highest scoring proponent to enter the contract instead.
- In the event of a tie score, ACCES's evaluation team will consider all available information, both tangible and intangible, including but not limited to the information contained in the submissions, to arrive at the tiebreaking decision.
- Any award made by ACCES shall be made in writing.

SECTION 6 – TERMS AND CONDITIONS

6.1. Inquiries

- Proponent should e-mail *(please do not telephone)* all questions to: procurement@accesemployment.ca by March 18, 2022 (5:00 pm EST). ACCES will not respond to questions after this deadline.
- ACCES will review and consolidate proponents' inquiries received by the deadline for submission and will provide answers via addenda for those inquiries deemed appropriate. The addenda to proponent inquiries will be made available online via the same bidding platform(s).

6.2. Blackout Period

- From the issuance of this RFP until a contract resulting from this RFP is executed by the selected proponent, any contact regarding this RFP (other than as permitted in this RFP) with personnel employed by or contracted by ACCES is prohibited. During this time-period, no proponents shall approach any ACCES personnel or contractor concerning this bidding, the contracting process, or their proposal.

6.3. Acknowledgment of Non-Binding Procurement Process

- This RFP is not intended to create any contractual or other legal obligations or duties whatsoever owed to any proponent or potential proponent by ACCES. Without restricting the generality of the foregoing, no contractual relations shall exist between ACCES and any proponent until the execution of an Agreement/Contract with that proponent.

6.4. Services Agreement

- The Service Agreement (*APPENDIX G – Services Agreement*) has been provided as part of this RFP to allow for familiarity with certain specific conditions that are expected to be adhered to in connection with the provision of services should the proponent be awarded the contract.

6.5. Insurance

- Insurance: Even though ACCES does not identify any specific insurance requirements in this Request for Proposals (RFP), this shall not be construed as a waiver of the successful proponent's responsibility to carry insurance that would be considered appropriate for a

prudent person or business to carry while engaged in the activities and providing the Services, including liability insurance. For additional information on the insurance requirements, refer to **APPENDIX G – Services Agreement**.

6.6. Incidental Expenses, External Factors and Proposal Outcome:

- ACCES programs and services receive funding from various sources and therefore all contracted services are subject to budget availability.
- ACCES as a non-profit organization under the **Broader Public Sector (BPS), Act 2010** is unable to reimburse the proponent for any incidental expenses such as food, hospitality, mileage, parking, photocopying, printing, etc.
- ACCES shall not be liable for any expenses incurred by any proponent, including the expenses associated with the cost of preparing the proposal.
- ACCES reserves the right to withdraw this RFP or terminate the resulting contract within the terms of the contract without penalty.

6.7. Conflict of Interest:

- Proponents responding to this RFP may not have any personal or business interest that would present an actual, potential, or apparent conflict of interest with the performance of the contract to be awarded (**APPENDIX C – Conflict of Interest Statement**).

6.8. Publicity:

- Proponents should not use the award of a contract as part of any news release or commercial advertising without ACCES’s prior written consent.

6.9. Disclosure of Confidential Information:

- Proponents should clearly mark any confidential information in their response. The confidentiality of such information will be maintained by ACCES, except as otherwise required by law or by order of a court or tribunal. Proponents are advised that their responses will, as necessary, be disclosed on a confidential basis, to ACCES’s staff to advise or assist with the RFP process, including the evaluation of proposals. If a proponent has any questions about the collection and use of personal information pursuant to this RFP, questions are to be submitted to the Bid Administrator.

6.10. Bid Dispute

- Bid Dispute should be submitted in writing to the Bidder Administrator at procurement@accesemployment.ca within five (5) business days of receipt of proposal status notification.
- The Selection Committee will conduct a review of a bid dispute and take the appropriate remedial action, including, but not limited to, reinstating the proponent into the competition or cancelling the call.
- The RFP award may be delayed because of a bid dispute.

6.11. Notification and Debriefing

- Once the selected proponent has been notified, the other proponents will be notified of the outcome of the RFP process via the bidding platform(s) used.
- Proponents may request a debriefing after receipt of a notification of the outcome of the RFP process. All requests must be in writing to the Bid Administrator and must be made within sixty (60) days of such notification.

6.12. COVID-19 Vaccine Safety Policy

- In ACCES’ ongoing effort to provide a safe workplace for all staff and clients/visitors/contractors, we have implemented a **COVID-19 Vaccine Safety Policy (APPENDIX H - COVID-19 Vaccine Safety Policy & Acknowledgement Form)** which pertains to all staff and any clients/visitors/contractors attending ACCES onsite. This policy requires that all individuals be fully vaccinated for COVID-19 prior to entering any ACCES site. Individuals who remain unvaccinated, including those with medical exemptions, are unable to enter our premises.
- Where this policy applies, all contractors and/or subcontractors are required to be fully vaccinated with a COVID-19 vaccine series to perform contract requirements.
- ACCES is using the new provincial “Verify Ontario” app for all visitors upon entry, either on smartphone or printed certificate with Q/R code. A hard-copy of Ontario vaccination certificate will also be accepted. A piece of government issued photo ID will also be required to be shown.
- ACCES will continue to enforce the following guidelines to all individuals entering any ACCES site:
 - Completion of a COVID-19 Visitor Screening Form
 - Wearing a mask
 - Using provided PPE
 - Using hand sanitizer at entrance
 - Social distancing

APPENDIX A - Request for Proposals (RFP) Cover Page

Please complete and submit as a cover page to the proposal.

Company Legal Name:	
Number of Years in Business:	
Address:	
HST Number: <i>(if exempted, please complete APPENDIX E – GST/HST Registration - Confirmation of Exemption)</i>	
Website:	
Primary Contact Name:	
Title:	
Telephone:	
Email:	
Organization Background:	
Number of employees/current staff	
Core competencies, services and products	
Number of years delivering financial e-learning courses, financial preparatory courses, and financial certifications	

The undersigned:

- 1) confirms that he/she has carefully examined the RFP documents and has clear and comprehensive knowledge of the deliverables required;
- 2) consents to ACCES and their representatives checking and verifying the information provided with their proposal, including checking references;
- 3) represents and warrants its ability to provide the deliverables of this RFP and comply with the contractual terms (**APPENDIX G – Services Agreement**);
- 4) has authority to bind the organization listed above.

Signature:	
Print Name:	
Position:	
Date:	

APPENDIX B - Request for Proposals (RFP) Checklist

Stage I - Non-Rated Criteria:

To be Completed by Proponent			For ACCES' Use Only	
No.	Description	Included (Y/N)	Meets	Does Not Meet
1	Appendix A - RFP Cover Page			
2	Appendix B - RFP Checklist			
3	Appendix C - Conflict of Interest Statement			
4	Appendix D - References			
5	Appendix E - GST/HST Registration - Confirmation of Exemption (<i>If Applicable</i>)			
6	Appendix F – Bid Form			
7	Appendix H – COVID-19 Vaccine Safety Policy & Acknowledgement Form			

Stage II - Rated Criteria:

To be Completed by Proponent			For ACCES' Use Only	
No.	Description	Included (Y/N)	Weight	Proponent's Score
1	Company Profile (or Individual's Profile): <ul style="list-style-type: none"> include company's legal name and location (state/province of incorporation); HST number; number of years in business; primary contact details; number of employees/current staffing; financial stability or total revenue previous fiscal year; core competencies, services, and products. where additional companies will be subcontracted, a company profile and company experience must be completed for each firm. 		5%	
2	Company (or Individual's) Experience: <ul style="list-style-type: none"> describe proponent's knowledge, skills, and experience relevant to the deliverables, including any sub-contractors (if applicable). any sub-contractors must be clearly denoted and include a description of work history with the proponent, their experience, and qualifications. 		25%	

3	<p>Approach and Implementation Methodology:</p> <ul style="list-style-type: none"> articulate the planned and recommended process for initiating online learning and the certification process. proponents should describe and/or provide details of how the deliverables (SECTION 3) will be addressed and describe the deliverables. 		30%	
4	<p>Pricing: for all proposed services rendered complete Bid Form (<i>APPENDIX F</i>), including:</p> <ul style="list-style-type: none"> related costs per cohort for Year 1, Year 2 and Year 3 confirmation of whether non-profit fees are being provided 		40%	

APPENDIX C - Conflict of Interest Statement

In addition to the other information and representations made by each proponent in the RFP, each proponent must declare whether it has an actual or potential Conflict of Interest. If, at the sole and absolute discretion of ACCES, the proponent is found to be in a Conflict of Interest, ACCES may, in addition to any other remedies available at law or in equity, disqualify the proposal submitted by the proponent.

The proponent, by submitting the proposal, warrants that to their best knowledge and belief no actual or potential Conflict of Interest exists with respect to the submission of the proposal or performance of the contemplated Agreement. Where ACCES discovers a proponent’s failure to disclose all actual or potential Conflicts of Interest, ACCES may disqualify the proponent or terminate any Agreement awarded to that proponent pursuant to this RFP process.

Conflict of Interest	Response
<p>Is there an actual Conflict of Interest, relating to the preparation of their proposal, or if the proponent foresees an actual or potential Conflict of Interest in performing the contractual obligations contemplated in the RFP? (<i>e.g. Is your company receiving training subsidy from ACCES?</i>)</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>[If yes, please enter details here.]</p>

The proponent agrees to provide any additional information, which may be requested by ACCES.

Signature:	
Print Name:	
Position:	
Date:	

I have authority to bind the organization listed above.

APPENDIX D - References

Proponents must provide a minimum of three (3) current references, outside of ACCES for projects of similar size and scope from within the last 3 years. ACCES reserves the right to contact these references at any time throughout this process. Reference checks will be kept confidential.

Reference # 1:

Company Name:	
Contact Name:	
Company Address:	
Company Telephone Number:	
Contact Email Address:	
Date Work Undertaken:	From: (M/D/Y) To: (M/D/Y)
Project Name:	

Reference # 2:

Company Name:	
Contact Name:	
Company Address:	
Company Telephone Number:	
Contact Email Address:	
Date Work Undertaken:	From: (M/D/Y) To: (M/D/Y)
Project Name:	

Reference # 3:

Company Name:	
Contact Name:	
Company Address:	
Company Telephone Number:	
Contact Email Address:	
Date Work Undertaken:	From: (M/D/Y) To: (M/D/Y)
Project Name:	

APPENDIX E - GST/HST Registration - Confirmation of Exemption

I confirm to ACCES that I am exempted from having a GST/HST registration number due to one of the following conditions:

- I am a sole proprietor, my total revenues from taxable supplies (before expenses) from all my businesses are \$30,000 or less in the last four consecutive calendar quarters and in any single calendar quarter.
- I am in partnership / I am a corporation, the total revenues from taxable supplies (before expenses) of the partnership or corporation are \$30,000 or less in the last four consecutive calendar quarters and in any single calendar quarter.
- I am a public service body (charity, non-profit organization, municipality, university, public college, school authority, or hospital authority), the total revenues from taxable supplies from all of the activities of the organization are \$50,000 or less in the last four consecutive calendar quarters and in any single calendar quarter. A gross revenue threshold of \$250,000 also applies to charities and public institutions.
- Our organization is not based in Canada.
- Other (please describe below):

I also confirm that the information I have provided is accurate.

Signature:	_____
Print Name:	_____
Position:	_____
Date:	_____

I have authority to bind the organization listed above.

APPENDIX F – Bid Form

Please provide:

- related costs per cohort, pre-HST for Year 1, Year 2 and Year 3
- confirmation of whether non-profit fees are being provided;

Please complete table below:

Service:	Year 1	Year 2	Year 3
Standard Rate per Cohort →			
Non-Profit Rate per Cohort (if applicable) →			

Please Note:

It is ACCES’s expectation that pricing information submitted by all suppliers shall remain in effect for a minimum of 120 calendar days from the date of the last submission or, in the case of any supplier(s) with whom ACCES wishes to conclude an agreement (if any), until a Service Agreement has been executed.

APPENDIX G – Services Agreement

AGREEMENT No: _____

SERVICES AGREEMENT

Between:

(A.C.C.E.S.) Accessible Community Counselling and Employment Services

("ACCES")

- and -

(the "Contractor")

RECITALS:

- A. The Contractor warrants and represents that it has the expertise, experience, resources, and personnel required to provide the services being sought by ACCES;
- B. ACCES, in reliance of the Contractor's warranty and representations, wishes to engage the Contractor and the Contractor wishes to accept this engagement;
- C. In order to establish a mutually beneficial business relationship, ACCES and the Contractor both wish to set out their agreement with respect to certain terms and conditions which will allow them to work together productively and fairly during the term of this engagement;
- D. **THEREFORE, IN CONSIDERATION** of the mutual covenants and agreements contained in this Agreement, ACCES and the Contractor (collectively, the "**Parties**" and each individually a "**Party**") hereby agree as follows:

Effective Date and Term

- 1. This Agreement shall take effect on the Effective Date and terminate on the Termination Date, both dates being set out in Schedule "A" hereto (the "Term"). This Agreement is subject to earlier termination as provided for later in this Agreement. This Agreement shall only be extended or renewed by way of explicit written agreement or amendment hereof.
- 2. In good faith, the Parties agree that, if the Contractor begins providing Services on or after the Effective Date but prior to the execution of this Agreement by both Parties, this shall not affect the validity of the Agreement so long as it is signed and backdated by each Party.

Services to be Provided

3. The Contractor will work independently and without supervision, utilizing its own skills, know-how, expertise, experience, resources and personnel to provide the Services more particularly described in Schedule "A", hereto, in a manner acceptable to ACCES and in accordance with the terms of this Agreement.
4. The Contractor agrees that, in order to ensure the Services provided meet the precise requirements of ACCES, it shall take general direction from the ACCES Business Contact identified in Schedule "A".
5. The Contractor agrees to provide the Services in a timely, competent and professional manner using full attention and all due diligence to ensure the high quality of the Services provided.
6. In providing the Services, the Contractor agrees to comply with the relevant policies of ACCES, including, for example, safety and personal conduct, as well as complying with applicable law, such as the Ontario *Human Rights Code* and *Occupational Health and Safety Act*.

Relationship of the Parties

7. This Agreement is intended to create a relationship between ACCES and the Independent Contractor. Under no circumstance shall either Party be considered the employee or employer of the other. Without limiting the generality of the foregoing, the Contractor specifically agrees that the Contractor (and/or its employees, if any) shall not be entitled to receive from ACCES any wages, salary, employee fringe benefits, transportation expenses, vacation pay, overtime pay, public holiday pay, termination pay, severance pay, leaves of absence, or other forms of time off, or any other rights, benefits, perquisites or entitlements as may be provided to the employees of ACCES or any rights, benefits, perquisites or entitlements as may be granted to employees by law.
8. The Parties acknowledge and agree that if any third-party, including a government agency, does enquire about or dispute the Parties' relationship as ACCES and Independent Contractor, such Party shall immediately advise the other Party and both Parties will cooperate in defending against any attempted reclassification of the relationship or of the Contractor's status as agreed hereunder.
9. In the event the Contractor which is a Party to this Agreement has not registered or incorporated its business, and in the event the Contractor registers or incorporates its business during the term of this Agreement, the Parties hereby agree that this Agreement shall be between ACCES and the Contractor's registered or incorporated business without need of any further amendment to this Agreement.

Time Commitment

10. The Contractor shall not be required to work a fixed number of hours, unless specifically agreed upon; however, it shall be the responsibility of the Contractor to allocate sufficient time to ensure that all tasks required to fulfill the terms of this Agreement are completed in a timely manner and within any time frames or schedule as may be established or required given the nature and objectives of this engagement.

Non-Exclusivity

11. Nothing in this Agreement shall prevent the Contractor from engaging in other professional or business ventures, from being engaged as a contractor elsewhere, from being employed elsewhere, or from providing services to any other customer or client, so long as such activities do not (i) inhibit or detrimentally affect the Contractor's discharge of its obligations under this Agreement or (ii) conflict with the interests of ACCES.
12. If there is any doubt as to whether any activity or relationship poses a conflict of interest (or potentially poses a conflict), the Contractor agrees to identify the activity or relationship to ACCES in order for ACCES to determine, in its sole discretion, whether the activity or relationship amounts to a conflict of interest. The Contractor agrees that any doubt as to the existence of a conflict shall be resolved in favour of the interests of ACCES and in the event that the Contractor does not take appropriate action to avoid or terminate any such conflict this shall be considered as cause to terminate this Agreement, per the "Termination for Cause" section of this Agreement.
13. Without limiting the generality of the foregoing provision, during the term of this Agreement, the Contractor specifically and strictly agrees not to provide any services or to work for or on behalf of a competitor to ACCES, which includes any person, corporation, agency, association, enterprise, or other entity which provides products and services of a similar nature to those provided by ACCES.

Compensation and Expenses

14. Fee for Services. In consideration of the provision of the Services by the Contractor, ACCES shall pay to the Contractor an agreed fee for services rendered as more particularly described in Schedule "A", plus any HST, if and as applicable, to be invoiced by the Contractor in accordance with Schedule "B" hereto.
15. Expenses. The Contractor shall pay its own expenses in connection with performing its obligations under this Agreement. Notwithstanding the foregoing, any expenses noted as Approved Expenses in Schedule "A", hereto, shall be reimbursed by ACCES to the Contractor, if properly added as a disbursement to the Contractor's invoice.
16. ACCES will not withhold from the compensation paid to the Contractor any sum for income tax, employment insurance, Canada Pension Plan or any other deduction or withholding pursuant to any law or requirement of any governmental body, and the Contractor shall have the sole

responsibility for submitting reports and returns, making any necessary payments and remittances, and maintaining any records required by local, provincial and/or federal governments, or agencies thereof, relating to the compensation received.

17. For greater clarity, the Contractor shall be solely responsible and liable to pay any and all required taxes, remittances and contributions, including, without limitation, all federal, provincial and local personal and/or business income taxes, harmonized sales tax, and any and all required contributions or premiums relating to the Canada Pension Plan, Employment Insurance Act, Workplace Safety and Insurance Act, and any and all other taxes, fees, premiums, remittances or contributions related to, or arising out of, the Services provided by the Contractor under this Agreement and in respect of the fees received by the Contractor in payment for the Services.
18. The Contractor hereby acknowledges and confirms its responsibilities under these provisions and agrees to indemnify ACCES and save it harmless from and against any and all claims, demands, charges, taxes or penalties which may be made by the Canada Revenue Agency, or any other government body or agency, requiring ACCES to pay any taxes, premiums, contributions or remittances, including penalty amounts, which may in the future be found to be payable by ACCES in respect of the Contractor as a consequence of the Contractor's failure to pay or remit, or delay in paying or remitting, any such amounts required to be paid or remitted by the Contractor pursuant to this Agreement, and any costs or expenses incurred by ACCES in defending against such claims or demands, *etc.*, on a solicitor and client basis.
19. **Insurance and Release.** If required by ACCES and/or specified in Schedule "A", hereto, the Contractor shall obtain and maintain during the Term, at the Contractor's own cost and expense, any insurance specified by ACCES as a condition of this Agreement. If ACCES does not require any specific insurance arrangements, the Contractor agrees that it is otherwise its responsibility to carry any insurance that would be considered appropriate for a prudent person or business engaged in the activities contemplated by this Agreement (or as may be required by law or imposed by any professional or regulatory body which may govern the activities of the Contractor). For clarity, in the event that ACCES does not identify any specific insurance arrangements in Schedule "A", this shall not be construed as a waiver of the Contractor's responsibility to carry any insurance that would be considered appropriate for a prudent person or business to carry while engaged in the activities and providing the Services contemplated by this Agreement, including liability insurance, nor does it invalidate the release, below.
20. **Release.** The Contractor hereby acknowledges and confirms its responsibilities in regards to carrying insurance appropriate to mitigating the risks associated with the provision of the Services hereunder, including in regards to personal injury and disability (in respect of itself and its employees, if any), as well as in regards to general or commercial liability, and, accordingly, the Contractor hereby releases ACCES, and its respective directors, officers, employees, and contractors, and their successors and assigns (the "Releasees") from any and all liability for any losses, damages, injuries, expenses, demands, claims, or causes of action of any kind whatsoever that may be suffered by the Contractor (or any employee of the Contractor) or caused by the Contractor (or any employee of the Contractor), even if such losses, damages, injuries, *etc.*, are caused by the negligence of ACCES or a third party.
21. Furthermore, the Contractor agrees to defend, indemnify, and hold the Releasees, individually and collectively, harmless from and against any and all demands, claims, lawsuits, liabilities,

damages, costs and expenses in relation to claims of any kind against ACCES, by any party or parties, that arise, either directly or indirectly, from the activities of the Contractor (or its employees) pursuant to this Agreement.

22. **Ownership & Protection.** Unless otherwise specified as an exception in Schedule “A” hereto, all deliverables, and any and all information, data, research, documents, statistics, reports, opinions, workshops, applications and all other materials created, developed, provided, written, discovered or produced by the Contractor (which includes its officers and employees) in the performance of this Agreement, and all intellectual property rights (including copyright) therein (collectively, the “**Materials**”), shall be the exclusive property of ACCES. The Contractor hereby assigns all rights, including intellectual property and moral rights, in respect of the Materials, to ACCES.

23. **Exception:** For clarity, any unique process or pre-existing formats or any previously-prepared materials developed by the Contractor prior to entering into this Agreement, but expressly excepting any ACCES-provided Materials, if any, shall remain the property of the Contractor. Any doubt as to rights or ownership arising under this provision shall be resolved in favour of ACCES and the onus is on the Contractor to request an exception in regards to ownership prior to executing this Agreement.

24. **Protection of ACCES Marks.** “ACCES Marks” means all trademarks, trade names, logos, slogans, service marks, symbols and names of ACCES, whether registered or not. Contractor may use ACCES Marks only if necessary in providing the Services and even then only when pre-approved in writing by ACCES, and Contractor will use the ACCES Marks in strict accordance with any specifications provided by ACCES for their use. Contractor agrees that the ACCES Marks are and remain the property of ACCES and this Agreement confers no right, title or interest in or to the ACCES Marks, except the limited use of same as herein set out. The Contractor will not, directly or indirectly, do or cause to be done, or permit, any acts which may in any way jeopardize or adversely affect the validity or reputation of any ACCES Marks or ACCES’ title to the ACCES Marks.

25. **Termination.** The Parties agree that this Agreement and their relationship may be terminated:
 - (a) Without further notice, on the Termination Date (i.e., at the end of the Term of this Agreement), as provided for by Paragraph 1, herein, and Schedule “A”, hereto, without further obligation to the Contractor except any earned and outstanding fees, and any approved expenses, incurred prior to the Termination Date;
 - (b) By ACCES, at any time prior to the end of the Term, without notice or any further obligation or liability, in the following circumstances:
 - (i) Immediately, in the event the Contractor ceases to exist as a business entity, becomes insolvent, or otherwise terminates its business operations or suffers any fortuitous circumstance rendering it incapable of fulfilling the terms of this Agreement;
 - (ii) Upon ACCES advising the Contractor of a default in the work, services or materials provided, and the Contractor fails to remedy the default to the satisfaction of ACCES within ten (10) business days following notice of such default, whether such notice is provided verbally or in writing;

- (iii) Immediately, if ACCES, in its sole discretion, determines that a default is of a nature that it cannot be remedied or cured (or if ACCES, in its sole discretion, decides it does not wish to provide the Contractor with an opportunity to remedy or cure the default), then termination shall be effective immediately upon notification by ACCES to the Contractor of such default and termination;
 - (iv) Immediately, if the Contractor fails to provide adequate assurance of its ability to perform its obligations under this Agreement, as determined by ACCES in its reasonable business judgment, or in the event of non-performance or any material breach of any of the Contractor's obligations hereunder, or in any situation which puts ACCES at risk of a termination, reduction or cancellation of a Funding Agreement by a Funding Provider, as determined by ACCES in its sole discretion; and
 - (v) Immediately, if the Contractor (or the Contractor's employees) commits any act or omission which is or is likely to be illegal, criminal, fraudulent, harassing or distressing, or violates any applicable laws, licenses or the rights of any third party, as determined by ACCES in its sole discretion.
- (c) This Agreement may also be terminated by ACCES at any time prior to the end of the Term, for any reason, including convenience or based on reassessment of its needs, by providing the Contractor with 10 business days of notice of termination in writing (by email is satisfactory), which the Contractor agrees is reasonable, during which period the Contractor will only be paid fees for Services actually performed during the notice period with the agreement of ACCES or, at the option of ACCES, payment in lieu of the Contractor performing any Services during the notice period. Any payment in lieu will be based strictly on the amount the Contractor would have earned by providing services on any dates falling during the notice period only, on which the Contractor was previously scheduled to provide services, *i.e.*, dates scheduled prior to notice being given, if any. Except as explicitly specified in this paragraph, any other right to damages as compensation for fees which the Contractor did not earn but which the Contractor might have earned had notice of termination not been given is hereby removed by agreement of the Parties.
- (d) This Agreement may be terminated by the Contractor at any time prior to the end of the Term, for any reason (including convenience), by providing 10 business days of notice in writing (including by e-mail), which ACCES agrees is reasonable, during which the Contractor shall continue to provide Services and endeavor to complete any work-in-progress to the satisfaction of ACCES or take any other steps as may be directed by ACCES in order to advance or achieve the objectives of this Agreement and/or to minimize the consequences of the termination. The Contractor agrees that, at its sole discretion, ACCES may waive the requirement for the Contractor to provide services during the notice period, without further obligation or payment to the Contractor except in respect of Services provided up to the date on which notice is given by the Contractor.
26. **Return of Materials.** Upon termination of the Contractor's services by either party, or at any time on request of ACCES, the Contractor shall promptly deliver to ACCES all property belonging to ACCES, including, without limitation, all documents, files, client lists, manuals, records, computer programs, devices, and all brochures, marketing or promotional materials, as well as any

deliverable or other materials required to be produced by the Contractor pursuant to the terms of this Agreement.

27. **AODA Training.** ACCES supports and promotes the objectives of the Accessibility for Ontarians with Disabilities Act (AODA) and, therefore, in accordance with the AODA and its Regulations, ACCES strives to ensure that all contractors that deal with the public on behalf of ACCES have, through their own efforts and at their own expense, been appropriately trained in human rights and AODA principles, including in regards to customer service. It is a condition and requirement of this Agreement that the Contractor, in turn, ensures that any personnel used in fulfilling the terms of this Agreement, if such personnel deal with the public on behalf of ACCES, are trained in accordance with the principles and requirements of AODA, and makes and retains appropriate training records (and provides such records on request by ACCES).
28. **No Assignment.** The Contractor acknowledges that the Contractor's specific qualifications, reputation and/or identity are of particular importance to ACCES and that it is because of such qualifications, reputation and/or identity that ACCES is entering into this Agreement. The Contractor therefore agrees that the Contractor's rights and obligations under this Agreement may not be assigned or transferred in any manner, in whole or in part, without the prior written consent of ACCES.
29. **Confidentiality.**
 - (a) During and after the Term (for so long as the information at issue remains confidential), the Contractor:
 - (i) shall treat as confidential any material or information, supplied by ACCES, or received on ACCES' behalf, or derived from any data which the Contractor may have acquired in the course of, or incidental to, the performance of this Agreement, or otherwise, which is non-public or expressly indicated to be confidential by ACCES, or which the Contractor ought reasonably to know is confidential ("**Confidential Information**");
 - (ii) without limiting the generality of the foregoing statement, agrees that Confidential Information specifically includes any information, including personal information, about or relating to the clients and customers of ACCES, and the Contractor specifically agrees to treat all such information as Confidential Information, and to take all reasonable precautions to safeguard and protect the confidentiality of such information;
 - (iii) shall use any Confidential Information only with our prior written consent or as is required for the performance of this Agreement, and for no other purposes whatsoever; and
 - (iv) shall not disclose to any person or entity any Confidential Information except with the prior and express written consent of ACCES.
 - (b) The Contractor agrees that if there is any doubt whatsoever on its part as to whether any information is Confidential Information, it shall treat such information as Confidential

Information unless and until it seeks direction or advice from ACCES as to whether or not the information at issue is Confidential Information.

30. **Non-Solicitation.**

- (a) Non-Solicitation of ACCES Employees. During the term of this Agreement and for a period of twelve (12) months following the termination of this Agreement, the Contractor agrees that it will not hire, solicit, procure or take away any employees of ACCES, or attempt to do so, or to interfere in any way which causes or could reasonably cause any such employees to alter their relationship with ACCES.
- (b) Non-Solicitation of ACCES Clients. During the term of this Agreement and for a period of twelve (12) months following the termination of this Agreement, the Contractor agrees that it will not solicit, or contact any clients of ACCES, either directly or indirectly, for the purpose of soliciting, their business (*i.e.*, seeking to provide or providing said clients with any services), if the services in question are in any way similar in nature to any service provided by ACCES and if the service(s) would be provided at any location within a 50-kilometre radius of any location of ACCES, which includes any future locations of ACCES in operation during this period of restriction. The Contractor hereby agrees that the time and geographic scope of these restrictions are reasonable, and further, agrees that if the Contractor breaches its obligations hereunder, ACCES shall be entitled to full and fair compensation for any and all losses suffered as a consequence of the breach by the Contractor, in addition to any other remedies, such as an injunction or specific performance.

31. **General.**

- (a) Independent Advice. The Contractor acknowledges and agrees that:
 - (i) the Contractor is free of any restrictions that might impair the Contractor's ability to enter into this Agreement or provide the Services hereunder (or that he/she has brought any such restrictions to the attention of ACCES); and
 - (ii) the Contractor has been advised and has been given a reasonable opportunity to seek independent legal, tax and financial advice in connection with the execution of this Agreement, or has voluntarily opted not to do so.
- (b) Publicity. Any publicity or publications relating to this Agreement or the Services shall be arranged by ACCES. The Contractor shall not make use of the Contractor's association with ACCES or this Agreement without ACCES' prior written consent. The Contractor can state on LinkedIn that they provided services as a service provider to ACCES as an independent contractor.
- (c) Governing Law. This Agreement shall be interpreted and enforced in accordance with, and the respective rights and obligations of the Parties shall be governed by, the laws of the Province of Ontario. ACCES and the Contractor hereby irrevocably and unconditionally attorn to the jurisdiction of the Courts of the Province of Ontario as being the proper jurisdiction in which to bring any claim arising out of any breach of this Agreement.

- (d) Amendment and Waiver. This Agreement may not be amended or modified in any respect except by written instrument signed by both Parties. No waiver of any provision of this Agreement shall constitute a waiver of any other provision nor shall any waiver constitute a continuing waiver unless otherwise provided.
- (e) Severability. In the event that any provision, paragraph or part of any provision or paragraph of this Agreement is deemed to be void, invalid or unenforceable by a court or tribunal of competent jurisdiction, the remaining provisions or parts shall remain in full force and effect.
- (f) Reference to Employees of the Contractor. Any references to the Employees of the Contractor apply if and as applicable, and in the event the Contractor is a sole proprietorship without employees, the Contractor agrees that such references shall not impair the validity, interpretation or application of this Agreement to the Contractor.
- (g) Compliance with Laws – The Independent Contractor shall comply with all federal, provincial, and local laws, regulations, and orders in fulfilling its obligations under the Agreement, including, without limitation, privacy legislation.
- (h) Time. Time shall be of the essence of this Agreement and the Contractor agrees to provide the services and deliverables specified in Schedule “A” in accordance with any target dates and deadlines as may be agreed.
- (i) Counterpart and Facsimile. This Agreement may be executed in counterpart, each of which shall be deemed to be an original, but all of which taken together shall constitute one and the same instrument. This Agreement may be executed and delivered by facsimile or by electronic mail transmission.

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- (j) Entire Agreement. This Agreement constitutes the entire agreement between the Parties with respect to the subject matter hereof and supersedes all prior agreements, understandings, negotiations, and discussions, whether written or oral between ACCES and the Independent Contractor.

ACCEPTANCE

The Parties hereby execute this **Services Agreement No: _____**, which is made as of the Effective Date set out in **Schedule "A"**:

FOR:

**(A.C.C.E.S.) ACCESSIBLE COMMUNITY
COUNSELLING AND EMPLOYMENT SERVICES**

Name:

Title:

Signature: _____

I have authority to bind the Corporation

FOR:

XXXX

Name:

Title:

Signature: _____

I have authority to bind the Corporation

APPENDIX H – COVID-19 Vaccine Safety Policy & Acknowledgement Form

I acknowledge and confirm that if selected to provide services to ACCES, I will comply with ACCES's COVID-19 Vaccine Safety Policy and that this will also apply to all my staff and subcontractors, including providing proof of compliance as required by ACCES.

Signature: _____

Name: _____

Title: _____

Date: _____

I have authority to bind the organization listed above.

COVID-19 Vaccine Safety Policy

Policy effective as of October 20, 2021

Replaces “Covid 19 Vaccine Safety Policy” dated August 9, 2021

Purpose

The purpose of this policy is to ensure a healthy and safe work environment for all ACCES staff and provide the safest possible service delivery to ACCES clients by clarifying ACCES’ expectations regarding COVID-19 vaccination.

The health and safety of ACCES employees and clients is a priority. ACCES is committed to implementing best practices in workplace safety to protect all employees from the hazard of COVID-19 infection. Vaccination is a key element in the protection of employees against the hazard of COVID-19.

This policy is designed to maximize COVID-19 vaccination rates among ACCES staff and clients as one of the critical control measures for the hazard of COVID-19.

To this end, ACCES employees are required to be fully vaccinated against COVID-19. ACCES will provide in-person service to vaccinated clients only. ACCES requires all clients, visitors, students, volunteers and contractors age 12 or older to be fully vaccinated if coming to an ACCES site. We will continue to provide full services remotely (e.g. online and/or by phone) for any clients who are unvaccinated.

Rationale

Due to the ongoing Covid-19 Pandemic and emerging variants, ACCES puts in place proven safety measures to provide the strongest measures of protection for all ACCES employees in our workplace. In addition to safety measures including masking, distancing and hand hygiene, safe vaccines are now widely available and will provide the best protection for ACCES employees, their families, our clients, and our communities from the hazard of workplace COVID-19 transmission.

Full vaccination has been shown to be effective in reducing COVID-19 virus transmission and protecting vaccinated individuals from experiencing serious health consequences, including hospitalization, if they contract COVID-19 and COVID-19 variants.

Given the continuing spread of COVID-19, including the Delta variant, within Ontario, the clear scientific evidence demonstrating a higher incidence of COVID-19 among the unvaccinated population, and the increasing levels of contact between individuals as businesses, schools, services, and activities have reopened, it is important for ACCES employees to be fully vaccinated in order to protect themselves against serious illness from COVID-19 as well as to provide indirect protection to others, including colleagues, clients/visitors.

Policy

Staff

ACCES employees are required to be fully vaccinated against COVID-19. Only fully vaccinated staff will be permitted to return to any ACCES site. Employees will also be required to obtain any additional COVID vaccinations, for example booster shots, that may be required in the future.

All current staff (as of October 20, 2021) must provide proof they are fully vaccinated for COVID-19 no later than January 3, 2022. Staff who do not provide proof of being fully vaccinated by this date will be placed on unpaid leave until they are fully vaccinated or until the COVID 19 pandemic is over.

New ACCES employees are required to be fully vaccinated against COVID-19 and must agree to obtain any additional Covid-19 vaccinations that may be required as a condition of being hired.

Clients, Visitors, Students, Volunteers, Contractors

ACCES offers on-line, phone, and in-person services. ACCES will screen clients and only provide in-person services to clients providing proof of full vaccination.

ACCES requires all clients, visitors, students, volunteers, and contractors visiting an ACCES site during working hours to be fully vaccinated. On a case-by-case approval basis, ACCES may permit unvaccinated contractors to be scheduled by ACCES for essential work to be conducted onsite (e.g. telecommunications, HVAC etc.) after ACCES' regular working hours.

Definition of Fully Vaccinated

Fully vaccinated means having received the full series of a Health Canada approved COVID-19 vaccine or a combination of approved vaccines and a minimum of 14 days has lapsed since completing the vaccination series. It may in the future include any Health Canada recommended boosters or additional vaccinations.

Support for Vaccinations

ACCES supports employees in obtaining their COVID-19 vaccination. If operationally feasible and with the permission of their immediate supervisor, employees can be released on work time to be vaccinated while on-duty, either in the work location or at a designated vaccination site, without loss of compensation or the requirement to use credits, to a maximum of one day (one shift) to receive the vaccine and up to an additional two days if feeling unwell due to side effects from the vaccine.

ACCES will provide virtual learning opportunities and additional educational resources for those who are not fully vaccinated by October 20, 2021.

Accommodation

Employees who are not able to obtain a COVID-19 vaccine for a reason related to a protected ground set out in the Ontario Human Rights Code (medical) can apply for accommodation to Human Resources (HR).

People with medical conditions which prohibit COVID-19 vaccination may apply for accommodation to HR. Medical documentation must be from an allergist, immunologist or cardiologist physician, address the medical condition that prohibits the individual from being vaccinated, and must include whether a medical reason is permanent or indicate the time period of the accommodation.

Documentation will be adjudicated and decisions made on a case-by-case basis, so long as the accommodation is not detrimental to the business of ACCES. ACCES reserves the right to insist on an independent medical review.

Continued Compliance with all Health and Safety Precautions

Unless a legislated or regulatory exemption applies, all ACCES staff are expected and required to continue to comply with applicable health and safety measures to reduce the hazard of COVID-19, including but not limited to compliance with established workplace access controls (e.g. pre-screening questionnaire and participation in the rapid antigen testing program), wearing a mask, using provided PPE, maintaining appropriate physical distancing and self-monitoring of potential COVID-19 symptoms when at work or otherwise engaged in ACCES business.

Employees who remain unvaccinated due to a substantiated medical or Human Rights Code related accommodation may be required to take additional infection and prevention control measures, including providing proof of a negative COVID-19 test, as well as self-isolate if exposed to COVID-19.

Ongoing Monitoring and Assessment of COVID-19 Workplace Safety Measures

ACCES will continue to closely monitor its COVID-19 risk mitigation strategy and the evolving public health information and context, to ensure that it continues to optimally protect the health and safety of employees in the workplace and the clients that we serve. If it is determined that additional precautions are necessary, ACCES may decide to deploy new measures (including at an individual level) to protect employees and the public from COVID-19 and may amend this policy accordingly and/or communicate the required precautions to impacted employees.